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AS AT SEPT. 5, 13hrs

Program and Budget Committee

Twenty-Second Session
Geneva, September 1 to 5, 2014

LIST OF DECISIONS

Document prepared by the Secretariat

AGENDA ITEM 1. OPENING OF THE SESSION

AGENDA ITEM 2. ELECTION OF THE CHAIR AND TWO VICE-CHAIRS OF THE PROGRAM AND BUDGET COMMITTEE

Ambassador Gabriel DUQUE (Colombia) was elected the Chair;
Mr. Xavier BELLMONT ROLDAN (Spain) and Mr. Wojciech PIĄTKOWSKI (Poland) were
elected Vice-Chairs of the Committee.

AGENDA ITEM 3. ADOPTION OF THE AGENDA

document WO/PBC/22/1

The Program and Budget Committee adopted the agenda.

AGENDA ITEM 4. REPORT BY THE WIPO INDEPENDENT ADVISORY OVERSIGHT COMMITTEE (IAOC)

document WO/PBC/22/2

- (1) *The Program and Budget Committee (PBC) recommended to the WIPO General Assembly to:*
 - (a) *take note of the Report by the WIPO Independent Advisory Oversight Committee (IAOC) (document WO/PBC/22/2); and*
 - (b) *request the Secretariat to continue to take appropriate action in response to the IAOC recommendations.*
- (2) *The PBC also requested the IAOC, in accordance with its mandate, to continue to review and oversee closely the actions taken by the Secretariat and report on the matter to the PBC.*

AGENDA ITEM 5. REPORT BY THE EXTERNAL AUDITOR

document WO/PBC/22/3

The Program and Budget Committee recommended to the General Assembly and other Assemblies of the Member States of WIPO, to take note of the Report by the External Auditor (document WO/PBC/22/3).

AGENDA ITEM 6. SUMMARY ANNUAL REPORT BY THE DIRECTOR OF THE INTERNAL AUDIT AND OVERSIGHT DIVISION (IAOD)

document WO/PBC/22/4

- (1) *The Program and Budget Committee (PBC) recommended to the WIPO General Assembly to:*
 - (a) *take note of the content of document WO/PBC/22/4 (Summary Annual Report by the Director of the Internal Audit and Oversight Division (IAOD)); and*
 - (b) *request the Secretariat to continue to take appropriate action in response to the IAOD recommendations.*
- (2) *The PBC also requested the Independent Advisory Oversight Committee (IAOC), in accordance with its mandate, to continue to review and oversee closely the implementation of the recommendations and continue reporting on the matter to the PBC.*

AGENDA ITEM 7. PROPOSED REVISIONS TO THE INTERNAL OVERSIGHT CHARTER

document WO/PBC/22/22

[pending]

AGENDA ITEM 8. PROGRESS REPORT ON THE IMPLEMENTATION OF THE JOINT
document WO/PBC/22/23

The Program and Budget Committee (PBC) took note of the status of Implementation of the Joint Inspection Unit (JIU) Recommendations addressed to the Legislative Bodies of WIPO and noted that 12 recommendations have been implemented, two have been accepted and are under implementation, and 10 remain under consideration (document WO/PBC/22/23).

AGENDA ITEM 9. JOINT INSPECTION UNIT REPORT "REVIEW OF MANAGEMENT AND ADMINISTRATION IN THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)" (JIU/REP/2014/2): SECRETARIAT'S COMMENTS

9(a) document WO/PBC/22/20

1. *The Program and Budget Committee took note of the Secretariat's Comments on the Joint Inspection Unit's (JIU) Report "Review of Management and Administration in the World Intellectual Property Organization" (JIU/REP/2014/2) (document WO/PBC/22/20), including:*

(i) the action taken by the Director General to send letters to the Chairs of the WIPO General Assembly and the Coordination Committee, drawing their attention to the recommendations addressed to legislative bodies by the JIU; and

(ii) the progress made on the implementation of the recommendations addressed to the Director General.

2. *The Program and Budget Committee also decided to request the Secretariat to present a follow-up report for the next PBC on the implementation of JIU Management and Administration Report (MAR) recommendations and any other actions taken in response to the report; and*

3. *The PBC recognized the important role of the JIU in undertaking management and administration reviews of UN agencies and welcomed the JIU practice to undertake these at regular intervals.*

9(b) document WO/PBC/22/26

Proposal by the Delegations of Belgium, Mexico and Spain: Increasing Efficiency in WIPO Meetings)

This document was discussed under agenda item 20.

AGENDA ITEM 10. PROGRAM PERFORMANCE REPORT FOR 2012-2013

10(a) PROGRAM PERFORMANCE REPORT FOR 2012-2013

document WO/PBC/22/8

The Program and Budget Committee (PBC), having reviewed the comprehensive Program Performance Report (PPR) for the biennium 2012/13 (document WO/PBC/22/8), and recognizing its nature as a self-assessment of the Secretariat, recommended that the Assemblies of the Member States of WIPO:

- (a) *acknowledge Programs' contribution to the achievement of the expected results;*
- (b) *note the enhancements made to the report, in accordance with the requests by Member States;*
- (c) *note that all recommendations of the Internal Audit and Oversight Division (IAOD) Validation of the PPR for the biennium 2010/11 had been implemented by the Secretariat;*
- (d) *noting the statements made by Member States on the PPR, request the Secretariat to:*
 - (i) *ensure that lessons learned from implementation in the 2012/13 biennium are duly taken into account for the implementation of the 2014/15 Program and Budget;*
 - (ii) *address the five recommendations included in the IAOD Validation Report on the PPR for 2012/13; and,*
 - (iii) *continue its efforts to enhance its implementation of results based management, in particular its performance data, results frameworks, performance assessment, monitoring tools and related reporting, based on the suggestions made by Member States concerning the 2012/13 PPR, and take those duly into account, where relevant, in the next PPR cycle and the 2016/17 Program and Budget.*

10(b) INTERNAL AUDIT AND OVERSIGHT DIVISION (IAOD) VALIDATION REPORT ON THE PROGRAM PERFORMANCE REPORT FOR 2012-2013

document WO/PBC/22/9

The Program and Budget Committee took note of the IAOD Validation Report on the Program Performance Report for 2012/13 (document WO/PBC/22/9).

AGENDA ITEM 11. ANNUAL FINANCIAL STATEMENTS 2013; STATUS OF THE PAYMENT OF CONTRIBUTIONS AS AT JUNE 30, 2014

11(a) ANNUAL FINANCIAL REPORT AND FINANCIAL STATEMENTS 2013

document WO/PBC/22/5

The Program and Budget Committee recommended to the General Assembly and other Assemblies of the Member States of WIPO, to approve the Annual Financial Report and Financial Statements 2013 (document WO/PBC/22/5).

11(b) STATUS OF THE PAYMENT OF CONTRIBUTIONS AS AT JUNE 30, 2014

document WO/PBC/22/7

The Program and Budget Committee took note of the Status of the Payment of Contributions as at June 30, 2014 (document WO/PBC/22/7).

AGENDA ITEM 12. FINANCIAL MANAGEMENT REPORT (FMR) FOR 2012/2013

12(a) FINANCIAL MANAGEMENT REPORT (FMR) FOR 2012/13

document WO/PBC/22/6

The Program and Budget Committee (PBC) recommended to the Assemblies of the Member States of WIPO to approve the Financial Management Report for the 2012/13 Biennium (document WO/PBC/22/6).

12(b) REVIEW OF WIPO'S FINANCIAL SITUATION AND ITS POLICIES RELATED TO RESERVES

document WO/PBC/22/28

The Program and Budget Committee (PBC), having reviewed the Financial Position (Net Assets) of the Organization and its evolution:

- (i) recognized the need to undertake a review of the policies on Reserves and Working Capital Funds; and*
- (ii) requested the Secretariat to submit to the PBC a comprehensive policy proposal that includes target setting for the Net Assets, liquidity considerations and the management, use and reporting of the available surpluses above the target level, taking into consideration the Member States' comments and guidance and the recommendations of Audit and Oversight bodies in this respect.*

AGENDA ITEM 13. ANNUAL REPORT ON HUMAN RESOURCES

document WO/PBC/22/11

The Program and Budget Committee (PBC):

- (i) considered the contents of the Annual Report on Human Resources (document WO/PBC/22/11); and*
- (ii) recommended that the General Assembly request that the suggestions formulated by Member States during the 22nd session of the PBC be included in future annual reports on human resources.*

AGENDA ITEM 14. ACCOUNTABILITY FRAMEWORK

document WO/PBC/22/12

The Program and Budget Committee recommended to the Assemblies of the Member States of WIPO and of the Unions, each as far as it is concerned, to:

- (a) endorse the consolidation of the key accountability components in accordance with the three pillars of: (i) covenant with Member States, stakeholders and users of WIPO's services; (ii) risk management and internal controls; and (iii) complaints and response mechanisms presented in document WO/PBC/22/12, as "WIPO's Accountability Framework"; and*

- (b) take note of the implementation of the recommendations of the IAOD and the JIU to define and obtain approval for an accountability framework for WIPO.

AGENDA ITEM 15. RISK APPETITE STATEMENT

document WO/PBC/22/17

The Program and Budget Committee noted the establishment of WIPO's Risk Appetite Statement, in accordance with audit and oversight recommendations, as set out in document WO/PBC/22/17.

AGENDA ITEM 16. PROPOSAL ON CHANGES TO POLICY ON INVESTMENTS

document WO/PBC/22/19

The Program and Budget Committee:

- (i) *recognized the need to amend the policy on investments; and*
- (ii) *requested the Secretariat to:*
 - (a) *submit a detailed proposal on a revised version of the policy, at its next session, following its review and clearance by the Investment Advisory Committee;*
 - (b) *undertake an ALM study and submit a separate investment policy for ASHI financing, following its review and clearance by the Investment Advisory Committee; and*
 - (c) *subject to there being sufficient levels of liquidity available, finance the Conference Hall from monies available for investment rather than by drawing down the loan which has been put in place for this purpose.*

AGENDA ITEM 17. PROPOSAL TO REFORM AND ENHANCE PROGRAM PERFORMANCE AND FINANCIAL REPORTING

document WO/PBC/22/27

The Program and Budget Committee, having reviewed document WO/PBC/22/27:

- (i) *recognized the opportunity to improve biennial performance and financial reporting;*
- (ii) *welcomed the Secretariat's proposal to move to a comprehensive and integrated Biennial Performance Report; and*
- (iii) *requested the Secretariat to submit a detailed proposal on the format and content of such a report at its next session, taking into account Member States' feedback through a structured survey.*

AGENDA ITEM 18. PROPOSED AMENDMENTS TO THE FINANCIAL REGULATIONS AND RULES (FRR)

document WO/PBC/22/10

(1)

The Program and Budget Committee recommended to the WIPO General Assembly to approve Regulations 2.8, 5.10, 5.11, 8.1 and 8.9 as amended in document WO/PBC/22/10, with the addition of the following sentence to Regulation 5.10: "The total amount of such payments shall not exceed 50,000 Swiss francs in any given financial period."

(2)

The Program and Budget Committee took note of the amendments to the Financial Rules listed in paragraph 5 of document WO/PBC/22/10.

AGENDA ITEM 19. PROPOSAL BY AUSTRALIA, FINLAND, HOLY SEE, NEW ZEALAND AND SWITZERLAND: PARTICIPATION OF REPRESENTATIVES OF ACCREDITED INDIGENOUS AND LOCAL COMMUNITIES IN THE WORK OF THE INTERGOVERNMENTAL COMMITTEE ON INTELLECTUAL PROPERTY AND GENETIC RESOURCES, TRADITIONAL KNOWLEDGE AND FOLKLORE (IGC): PROPOSAL FOR SUBSIDIARY FUNDING FROM THE REGULAR BUDGET OF WIPO

document WO/PBC/22/24

1. *The Program and Budget Committee (PBC) recognized the importance and value of the participation and contribution of representatives of accredited indigenous and local communities in the work of the Intergovernmental Committee on Intellectual Property and Genetic Resources, Traditional Knowledge and Folklore (IGC).*

2. *Discussions were held on the proposal made by Australia, Finland, Holy See, New Zealand and Switzerland entitled "Participation of representatives of accredited indigenous and local communities in the work of the Intergovernmental committee on intellectual property and genetic resources, traditional knowledge and folklore (IGC): proposal for subsidiary funding from the regular budget of WIPO" and divergent views were expressed on the proposal. Some Members expressed interest and views on predictable and sustained funding for the participation of accredited indigenous and local communities in the work of the IGC.*

3. *Recognizing the importance and value of the WIPO Voluntary Fund for Accredited Indigenous and Local Communities ("the Voluntary Fund"), the PBC commended the efforts that the Secretariat made so far for finding new sources of contribution to the Voluntary Fund and encouraged the Secretariat to continue these efforts.*

AGENDA ITEM 20. GOVERNANCE AT WIPO

background documents WO/PBC/18/20, WO/PBC/19/26 and WO/PBC/21/20

[pending]

AGENDA ITEM 21. EXTERNAL OFFICES

document WO/PBC/22/25 and Corr.

The Program and Budget Committee (PBC) expressed its gratitude and thanked Ambassador Fitschen of Germany, as well as other ambassadors who previously facilitated consultations on matters concerning WIPO External Offices that concluded in the document contained in WO/PBC/22/25. Noting that there were no changes in positions of delegations and Groups, the PBC recommended to the WIPO General Assembly to further consider this issue.

AGENDA ITEM 22. PROPOSED DEFINITION OF "DEVELOPMENT EXPENDITURE" IN THE CONTEXT OF THE PROGRAM AND BUDGET

background document WO/GA/43/21

[pending]

AGENDA ITEM 23. PROGRESS REPORT ON THE PROJECT TO UPGRADE SAFETY AND SECURITY STANDARDS FOR THE EXISTING WIPO BUILDINGS

document WO/PBC/22/13

The Program and Budget Committee took note of the Progress Report on the Project to Upgrade the Safety and Security Standards for the Existing WIPO Buildings (document WO/PBC/22/13).

AGENDA ITEM 24. PROGRESS REPORT ON THE CONSTRUCTION PROJECTS

document WO/PBC/22/14

The Program and Budget Committee recommended to the Assemblies of the Member States of WIPO and of the Unions, each as far as it is concerned:

- (i) to take note of the contents of the Progress Report on the New Construction Project and New Conference Hall Project (document WO/PBC/22/14);*
- (ii) to approve the proposal to authorize, in favor of the New Construction Project, the appropriation from the reserves of an amount of up to 400,000 Swiss francs (paragraphs 10 to 15); and*
- (iii) to approve the proposal to authorize, in favor of the New Conference Hall Project, the appropriation from the reserves of an amount of up to 2,500,000 Swiss francs (paragraphs 16 to 21).*

AGENDA ITEM 25. PROGRESS REPORT ON THE IMPLEMENTATION OF AN ENTERPRISE RESOURCE PLANNING (ERP) SYSTEM IN WIPO

document WO/PBC/22/15

The Program and Budget Committee (PBC) took note of the Progress Report on the Implementation of an Enterprise Resource Planning (ERP) System in WIPO (document WO/PBC/22/15).

AGENDA ITEM 26. PROGRESS REPORT ON THE INFORMATION AND COMMUNICATION TECHNOLOGY (ICT) CAPITAL INVESTMENT PROJECT

document WO/PBC/22/18

The Program and Budget Committee (PBC) took note of the Progress Report on the Information and Communication Technology (ICT) Capital Investment Project (document WO/PBC/22/18).

AGENDA ITEM 27. PROGRESS REPORT ON THE IMPLEMENTATION OF WIPO LANGUAGE POLICY

document WO/PBC/22/16

The Program and Budget Committee took note of the Progress Report on the Implementation of WIPO Language Policy (document WO/PBC/22/16), and:

- (i) acknowledged:*
 - (a) the enhanced rationalization and control measures implemented to limit the increase in the translation workload;*
 - (b) the cost efficiencies achieved;*
 - (c) the increased share of outsourcing while ensuring quality of translation; and*
- (ii) urged the Secretariat to continue its efforts in this regard, while at the same time continuing to maintain high quality service and early availability of documents in the six United Nations languages, and report back to the PBC thereon in the context of the Program Performance Report for 2014.*

AGENDA ITEM 28. PROGRESS REPORT ON PROJECTS UNDER THE CAPITAL MASTER PLAN

document WO/PBC/22/21

The Program and Budget Committee took note of:

- (ii) the governance structure set up to manage, oversee and report on the implementation of the portfolio of CMP projects (document WO/PBC/22/21); and*
- (iii) the ongoing dialogue with the IAOC and the planned enhancements to the presentation of the CMP (document WO/PBC/22/21).*

AGENDA ITEM 29. LIST OF DECISIONS

document WO/PBC/22/29

AGENDA ITEM 30. CLOSING OF THE SESSION

The session was closed.

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