PROGRAM 9 AFRICA, ARAB, ASIA AND THE PACIFIC, LATIN AMERICA AND THE CARIBBEAN COUNTRIES, LEAST DEVELOPED COUNTRIES

PLANNING CONTEXT

9.1. The Program is driven by Strategic Goal III, Facilitating the Use of IP for Development, the fundamental prerequisite of which is an enabling environment comprising policy, legislative, institutional, enterprise and human resources that will empower developing countries and least developed countries (LDCs) to use IP for development, enable them to reap benefits from the IP system and enhance their participation in the global innovation economy.

9.2. The key challenges are: a) the diversity in terms of legal, economic and political systems and situations of developing countries and LDCs in the Africa, Arab, Asia and the Pacific and Latin America and the Caribbean regions; b) the ever-increasing need and demand for development-related as well as other WIPO services; c) the broad range and diversity of stakeholders with varying skills, competencies and knowledge requirements from policy makers, IP Office officials, administrators and examiners, to university and R&D technology transfer managers, entrepreneurs and enterprise managers, inventors and innovators, and IP practitioners; d) the challenge of translating the notion of IP for development into concrete results with tangible benefits accruing to specific sectors and the society at large; and e) the constant challenge of ensuring relevance, impact and sustainability of results, particularly at the country level.

IMPLEMENTATION STRATEGIES

9.3. The work of this Program will continue to be guided by the DA Recommendations on technical assistance and capacity building, in particular Recommendations 1, 6, 12, 13 and 14. A critical implementation strategy will be through country-specific national IP strategies designed in the context of national development plans. National IP strategies may inform the needs and gaps in the areas of policy and legislative frameworks, institutional and technical infrastructure and human resource capacity building while at the same time leveraging the potential of IP in priority areas as defined in national development plans. In accordance with one of its Expected Results (ER), the Program will intensify its work in assisting countries in the design, formulation and implementation of national IP strategies. This implementation strategy will utilize the methodology on national IP strategy formulation, produced in the previous biennium under a DA project, which include tools for needs assessment, benchmarking and guidelines for an iterative process of multi-stakeholder consultation and validation. The Regional Bureaus will guide the IP strategy formulation of countries in their respective regions in coordination with the Least Developed Countries (LDCs) Division and the Special Projects Division (SPD) and with inputs from relevant Sectors, particularly in the implementation phase.

9.4. Parallel to the preparation, adoption and implementation of national IP strategies, country plans will be a planning tool to progressively achieve coherence, transparency and improved coordination in the delivery of development related services by the entire Organization. A country plan will provide an overview of the scope and range of WIPO's engagement in a country through a matrix of clear results to be achieved, programs and activities that will take place in a country over a two year period following consultations between the country and WIPO. The Regional Bureaus will take the lead in preparing the country plans in close consultation and coordination with other Sectors.

~~9.5.~~ Capacity-building programs will be designed to suit the requirements of specific target groups, ~~including SMEs,~~ and will focus on upgrading skills and competencies through short-term and practical programs delivered at the national, sub-regional and regional levels. ~~The capacity building activities for SMEs will be planned and implemented in line with National IP Strategies, with a focus on a train-the-trainer approach for maximum outreach. The design of the training courses will be closely coordinated with Programs 10, 11 and 30.~~
9.5.

9.6. Appropriate tools and indicators will continue to be developed to assess the application and utility of the skills and knowledge that these programs seek to upgrade.

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9.7. Tailored programs will be designed and implemented which will specifically address the needs of LDCs with a sharpened focus on the broad thematic priority areas contained in the WIPO Deliverables adopted on the occasion of the Fourth United Nations Conference on the LDCs (UN LDC IV). Under this broader framework of cooperation, WIPO and the LDCs will work together to build and strengthen LDCs' technology transfer systems and institutions and contribute towards the realization of relevant recommendations of the Istanbul Programme of Action (IPoA).

9.8. To translate the notion of IP for development into concrete, tangible results and benefits, a number of innovative, cross–cutting IP based projects will be undertaken, including projects on IP and branding for business and community development in the agri-business, handicrafts and design sectors as well as projects on appropriate technology transfer. For such projects, cooperation arrangements and partnerships with other intergovernmental organizations and non-governmental institutions operating in the regions will be promoted as means to pool resources and expertise for higher impact. In particular, initiatives will be undertaken to explore the possible contribution of WIPO in country level UN Development Assistance Frameworks and cooperation agreements with regional economic communities.

9.9. The databases developed under two DA projects in the biennium 2010/11, to document the range of technical assistance activities rendered by WIPO, will continue to be maintained and refined, including the database aiming at matching donor countries' funding offers with beneficiary countries' development assistance needs.

9.10. Other elements of the enabling environment relating to policy and legislative frameworks, infrastructure and access to information while being addressed directly by the specialized sector concerned, will be coordinated by the Bureaus as part of either the national IP strategy framework or the country plan. Regular internal consultations and dialogue will be a normal part of the coordination process.

9.11. These strategies are, in general, intended to improve the quality and effectiveness of the delivery of development oriented services by the Organization, focusing on results while ensuring sustainability and continuity of actions.

MAJOR RISKS AND MITIGATION STRATEGIES

Risk(s) to the Program achieving its Results	Mitigation plans in place or under implementation
Risk of political instability in the Member States with high security risks and security downgrade that may cause cancellation of activities or delays in technical assistance delivery thus impeding Program 9's ability to fully deliver its expected results	(i) Risk assessment undertaken as appropriate and country plans/ annual activities formulated taking into account this assessment (ii) Constant monitoring with IP office and stakeholders to develop alternative plans
Political change leading to IP policy changes in the country and in Governments or in senior management of IP offices which may cause revision of affected countries' work plans, and reducing or delaying delivery of services thus affecting attainment of expected results	(i) Flexibility retained to make occasional adjustments in work plans for individual countries (ii) Encouraging and facilitating consensus building on national IP strategies and work plans

RESULTS FRAMEWORK

Expected Results	Performance Indicators	Baselines	Targets
I.2 Tailored and balanced IP legislative, regulatory and policy frameworks	No. and % of Member States which were satisfied with the quality of legal advice related to patents, utility models, trade secrets and integrated circuits	Surveys 2012	90%
	No. and % of Member States/regional organizations providing positive feedback on the legislative advice offered in the area of trademarks, industrial designs and geographical indications	Three Member States/regional organizations having provided positive feedback on legislative advice received in 2012	Positive feedback received from 10 Member States/regional organizations (regional breakdown)
	No. and % of countries that have provided positive feedback about WIPO´s legislative advice	No feedback information has yet been collected	15 countries (regional breakdown)
III.1 National innovation and IP strategies and plans consistent with national development objectives	No. of countries which are in the process of formulating/adopting national IP strategies and/or development plans	Africa (12 cumulative)	Africa (18 cumulative)
		Asia and Pacific (8)	Asia and Pacific (13 cumulative)
		Arab (4)	Arab (7 cumulative)
		Latin America and the Caribbean (9)	Latin America and the Caribbean (13 cumulative)
		5 LDCs included in the above regional breakdown	10 LDCs included in the above regional breakdown
	No. of countries which have adopted and are implementing national IP strategies and/or development plans	Africa (4)	Africa (6 cumulative)
		Arab (3)	Arab (6) cumulative
		Asia and Pacific (3)	Asian and Pacific (7)cumulative
		Latin America and the Caribbean (4)	Latin America and the Caribbean (12)
		5 LDCs included in the above regional breakdown	11 LDCs included in the above regional breakdown
III.2 Enhanced human resource capacities able to deal with the broad range of requirements for the effective use of IP for development in developing countries, LDCs and countries with economies in transition	% of participants in WIPO events who express satisfaction with the content and organization of these events	No data available	Africa (70%)
			Arab (80%)
			Asia and Pacific (65%)
			Latin America and the Caribbean (80%)
			LDCs (80%)
	% of participants in WIPO workshops who apply the skills learned in their work/enterprise	Information not available	Africa (70%)
		Asia and Pacific (65%)	Asia and Pacific (65%)
		Information not available	Arab (70%)
		Information not available	Latin America and the Caribbean (30%)
		Information not available	LDCs (80%)

Expected Results	Performance Indicators	Baselines	Targets
	% of national/regional IP experts used as resource persons in WIPO events	Africa (65%) Arab (70%) Asia and Pacific Data not available Latin America and the Caribbean (70%) LDCs (70%)	Africa (75%) Arab (80%) Asia and Pacific (55%) Latin America and the Caribbean (80%) LDCs (80%)
III.4 Strengthened cooperation mechanisms and programs tailored to the needs of developing countries and LDCs	No. of new or strengthened cooperation mechanisms, programs or partnerships supported to promote/strengthen sub-regional or regional cooperation in IP	Africa (3) Arab (2) Asia and Pacific (4) Latin America and the Caribbean (3) 2 LDCs included in the above regional breakdown Appropriate Technology Projects in 3 LDCs	Africa (2) Arab (1) Asia and Pacific (4) Latin America and the Caribbean (7) 4 LDCs included in the above regional breakdown Additional appropriate technology in at least 4 LDCs
~~III.6 Increased capacity of SMEs to successfully use IP to support innovation~~	~~% of participants in training programs targeting SME-support institutions who express satisfaction with the content and organization of these events~~	~~Tbd~~	~~Tbd~~
	~~% of trained SMEs support institutions who provide information, support and advisory/consulting services on IP asset management~~	~~Tbd~~	~~Tbd~~
	~~No. of countries having established IP training programs for SMEs~~	tbd	tbd
IV.2 Enhanced access to, and use of, IP information by IP institutions and the public to promote innovation and creativity	No. of sustainable national TISC networks	14 national TISC networks: Africa (6) Arab (2) Asia and Pacific (2) Latin America and the Caribbean (4) 6 LDCs included in the above regional breakdown	23 national TISC networks (cumulative): Africa (10 of which 8 LDCs) Arab (3) Asia and Pacific (4 of which 2 LDCs) Latin America and the Caribbean (6)
	Average number of users serviced by TISCs per quarter and country	200 (min) – 630 (max)	300 (min) – 750 (max)
	No. of countries using IP Technical Assistance Database (IP-TAD), IP Roster of consultants (IP_ROC)	60 (as of 01.03.2013)	70
	No. of TTOs established and/or strengthened in Universities or R&D institutions	tbc	Arab (5) TTOscumulative

Expected Results	Performance Indicators	Baselines	Targets
IV.4 Enhanced technical and knowledge infrastructure for IP Offices and other IP institutions leading to better services (cheaper, faster, higher quality) to their stakeholders	Average Service Level of IP Offices assisted (ranging from 1 to 5)	tbd	tbd

RESOURCES FOR PROGRAM 9

9.12. The decrease in resources for Results I.2 (Legislative advice) and IV.2 (Enhanced access to, and use of, IP information and knowledge) reflects the continued implementation of the delivery model for development-oriented activities, whereby the specialized Programs assume the technical responsibility for the delivery of activities related to legislative advice, infrastructure of IP Offices and facilitating access to IP information and knowledge. This is reflected in decreases under Individual Contractual Services, Staff Missions and Third-party Travel (decrease also affected by cost efficiencies on travel).

9.13. The increase in resources for Result III.1 (National IP Strategies) and the increase in the total combined resources for Results III.2 (Enhanced human resource capacities) and III.4 (Cooperation mechanisms and programs) further reflect the implementation of the delivery model, whereby the Regional Bureaus' responsibility for National IP strategies and plans and capacity building has been reinforced. This has been reflected by an internal re-deployment of resources to ensure that the regional specificities of SMEs are addressed in line with National IP Strategies and Plans and that strengthened expertise on technology transfer is available to address the specificities of LDCs.

9.14. The shift of resources between Results III.2~~,~~ and III.4 ~~and III.6~~ reflects~~:~~ ~~i)~~ the widening of Result III.4 to encompass cooperation mechanisms and programs in both developing countries and LDCs as compared to 2012/13 where the result focused entirely on such mechanisms in LDCs only. ~~and ii): the creation of SME focal points in Regional Bureaus for the implementation of SME-related capacity building activities which contributes to Result III.6.~~ A large part of the work under Results III.4 ~~and III.6~~ includes capacity building activities.

9.15. The decrease in resources for Result III.3 (Mainstreaming of DA) is primarily due to the change in the source of funding for the DA project on South-South Cooperation on IP and Development among Developing Countries and LDCs (now financed from the Reserves).

9.16. The increase in resources for Result IV.4 (Enhanced technical and knowledge infrastructure) is primarily due to pilot projects on technology transfer and regional meetings on ICT tools and exchange of data in the Arab region.

Program 9: Resources by Result
(in thousands of Swiss francs)

	Expected Result No. and Description	*2012/13 Approved Budget*	*2012/13 Budget after transfers*	*2014/15 Proposed Budget*
I.2	Tailored and balanced IP legislative, regulatory and policy frameworks	3'433	3'541	1'080
III.1	National innovation and IP strategies and plans consistent with national development objectives	8'363	9'682	10'946
III.2	Enhanced human resource capacities able to deal with the broad range of requirements for the effective use of IP for development in developing countries, LDCs and countries with economies in transition	16'221	15'476	12'105
III.3	Mainstreaming of the DA recommendations in the work of WIPO	1'879	-	367
III.4	Strengthened cooperation mechanisms and programs tailored to the needs of developing countries and LDCs	1'517	1'018	4'737
IV.2	Enhanced access to, and use of, IP information by IP institutions and the public to promote innovation and creativity	-	1'045	994
IV.4	Enhanced technical and knowledge infrastructure for IP Offices and other IP institutions leading to better services (cheaper, faster, higher quality) to their stakeholders	3'690	2'364	2'704
	Total	**35'102**	**33'126**	**32'934**

Note: The 2012/13 Approved Budget and Budget after transfers by Expected Result have been restated to reflect the proposed Organizational Results Framework for 2014/15.

Program 9: Resources by Object of Expenditure
(in thousands of Swiss francs)

	2012/13 Approved Budget	2012/13 Budget after transfers	2014/15 Proposed Budget	Difference from 2012/13 Budget after transfers	
				Amount	%
A. Personnel Resources					
Posts	20'167	19'738	21'354	1'616	8.2%
Temporary Staff	2'345	2'525	2'778	253	10.0%
Other Staff Costs	--	--	--	--	n/a
Total, A	**22'512**	**22'263**	**24'132**	**1'869**	**8.4%**
B. Non-personnel Resources					
Interns and WIPO Fellowships					
Interns	--	--	--	--	n/a
WIPO Fellowships	--	--	--	--	n/a
Sub-total	--	--	--	--	n/a
Travel and Fellowships					
Staff Missions	2'427	2'721	2'026	(696)	-25.6%
Third-party Travel	5'718	4'567	3'471	(1'096)	-24.0%
Course Fellowships	--	35	87	52	148.6%
Sub-total	*8'144*	*7'324*	5'584	*(1'739)*	*-23.8%*
Contractual Services					
Conferences	1'226	628	674	46	7.4%
Publishing	84	35	30	(5)	-13.0%
Individual Contractual Services	2'981	2'392	2'187	(205)	-8.6%
Other Contractual Services	--	250	264	14	5.5%
Sub-total	*4'291*	*3'304*	*3'155*	*(149)*	*-4.5%*
Operating Expenses					
Premises & Maintenance	--	65	--	(65)	-100.0%
Communication	--	--	--	--	n/a
Representation	155	98	63	(35)	-35.3%
Admin & Bank Charges	--	--	--	--	n/a
UN Joint Services	--	--	--	--	n/a
Sub-total	*155*	*163*	*63*	*(100)*	*-61.1%*
Equipment and Supplies					
Furniture & Equipment	--	72	--	(72)	-100.0%
Supplies & Materials	--	--	--	--	n/a
Sub-total	--	72	--	*(72)*	*-100.0%*
Total, B	**12'590**	**10'863**	**8'802**	**(2'061)**	**-19.0%**
TOTAL	**35'102**	**33'126**	**32'934**	**(192)**	**-0.6%**
POSTS	**49**	**49**	**50**	**1**	**2.0%**

Notes:

(1) 2012/13 Approved Budget and Budget after transfers are restated based on the proposed 2014/15 cost category structure.

(2) Budget after transfers reflects the adjusted budget of Programs, following transfers during 2012/13 in line with Financial Regulation 5.5. For additional details on the 2012/13 Budget after Transfers, please refer to the Table in Annex I (Budget after Transfers by Program) of this document and document WO/PBC/20/2 (Program Performance Report for 2012). Personnel costs for the 2012/13 Budget after transfers represent actual expenditures incurred up to March 31, 2013 and budgeted amounts, based on standard costs, for the remaining nine months of 2013.

(3) For further details on posts for the Program please refer to the Table in Annex II.

Fund-in-Trust Resources Potentially Available for Programming in 2014/15*
*(in thousands of Swiss francs)***

Program	*Projected Balance end 2013*	*Estimated Contributions 2014/15****	*Estimated Available for Programming in 2014/15*****
Program 9			
Africa	1,632	3,500	5,132
Asia and Pacific	3,523	7,507	11,030
Latin America	94	703	797
Total	**5,249**	**11,710**	**16,959**

* For information only. It should be noted that some FIT Agreements provide resources for activities which extend beyond the region/program. For further details please refer to Annex VIII.

** The figures do not include interest and exchange rate adjustments. It should also be noted that these funds generally provide for activities spanning a period of time exceeding or overlapping a single biennium, as income is received and expenditure incurred.

*** Annual contributions vary and fluctuations have been observed from one year to another.

**** This figure is purely indicative and is based on previous funding patterns. It does not represent Member States' commitments except in those cases where the FIT Agreement includes such a commitment.